



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,084	09/21/2000	Keizaburo Sasaki	3815/102

Matthew J Marquardt Esq
Brown Raysman Millstein Felder & Steiner LLP
120 West 45TH Street
New York, NY 10036



FORMALITIES LETTER



OC000000005563681

Date Mailed: 11/17/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$4256.
 - \$720 for 40 total claims over 20.
 - \$3276 for 42 independent claims over 3.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 5076.

01/26/2001 CHGUYEN 00000077 024270 09667084

06 FC:104 10.00 CH 260.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

01/26/2001 CHGUYEN 00000077 024270 09667084
710.00 OP
3360.00 OP
720.00 OP
130.00 OP
01 FC:101
02 FC:102
03 FC:103
04 FC:105



SECTOR#

Atty. Docket No.: 3815/102

43

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Keizaburo SASAKI et al.

Appln. No. : 09/667,084 Examiner : Not yet assigned

Filed : September 21, 2000 Group Art Unit: 2171

Title : DATA CONVERSION APPARATUS, SIGNAL, DATA
CONVERSION METHOD, DCE, GATEWAY AND
COMMUNICATION APPARATUS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO "NOTICE TO FILE MISSING
PARTS OF APPLICATION--FILING DATE GRANTED"**

Sir:

We have received a Notice to File Missing Parts of Application--Filing Date Granted mailed on November 17, 2000. We are returning herewith a copy of that Notice, together with the required Oath and Declaration and Assignment and Recordation forms.

We are also enclosing herewith a check in the amount of \$5,220.00 in payment for the basic filing fee, additional claim fees, a surcharge filing fee, and an assignment recording fee.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to our Deposit Account No. 02-4270.

Respectfully submitted,

Dated: January 17, 2001

Matthew J. Marquardt, (Reg. No.: 40,997)
BROWN RAYSMAN MILLSTEIN FELDER
& STEINER LLP
120 West Forty-Fifth Street
New York, New York 10036
(212) 944-1515

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as First Class Mail addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

Matthew J. Marquardt

January 17, 2001
Date

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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3

PTO/SB/17 (09-00)

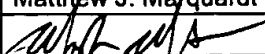
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p>FEE TRANSMITTAL for FY 2001</p> <p><small>Patent fees are subject to annual revision.</small></p>		<p>Complete if Known</p>													
<p>TOTAL AMOUNT OF PAYMENT (\$) \$5,220.00</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">09/667.084</td> </tr> <tr> <td>Filing Date</td> <td>September 21, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Keizaburo SASAKI et al.</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>2171</td> </tr> <tr> <td>Attorney Docket No.</td> <td>3815/102</td> </tr> </table>		Application Number	09/667.084	Filing Date	September 21, 2000	First Named Inventor	Keizaburo SASAKI et al.	Examiner Name	To be assigned	Group Art Unit	2171	Attorney Docket No.	3815/102
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<p>METHOD OF PAYMENT</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 02-4270</p> <p>Deposit Account Name: Brown Raysman Millstein Felder & Steiner LLP</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101 710</td> <td>201 355</td> <td>Utility filing fee</td> <td>\$710.00</td> </tr> <tr> <td>106 320</td> <td>206 160</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107 490</td> <td>207 245</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108 710</td> <td>208 355</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$) \$710.00</td> </tr> </tbody> </table> <p>2. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Matthew J. Marquardt	Registration No. (Attorney/Agent)	40,997
Signature		Telephone	(212) 944-1515
		Date	January 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: 3815/102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Keizaburo SASAKI et al.

Application No.: 09/667,084

Examiner: To be assigned

Filed: September 21, 2000

Group Art Unit: 2171

Title: DATA CONVERSION APPARATUS, SIGNAL, DATA CONVERSION METHOD, DCE, GATEWAY AND COMMUNICATION APPARATUS

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Please correct the filing receipt mailed November 17, 2000 to include the proper residence addresses for each of the named inventors. The Official Filing Receipt should include the following: --Keizaburo Sasaki, Kanagawa, Japan; Hiroyuki Hattori, Kanagawa, Japan; Noriko Niizato, Kanagawa, Japan--.

A copy of the filing receipt with the corrections in red is enclosed. Applicants respectfully request issuance of a corrected filing receipt.

Respectfully submitted,

Date: January 17, 2001

Matthew J. Marquardt, Reg. No. 40,997
BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP
120 West Forty-Fifth Street, New York, New York 10036
Tele: (212) 944-1515 Fax : (212) 840-2429

MJM:od
Encl.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage as First Class Mail addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231.

BRMFS1 234143v1

17 JAN 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/667,084	09/21/2000	2171	0	3815/102	24	56	45

Matthew J Marquardt Esq
Brown Raysman Millstein Felder & Steiner LLP
120 West 45TH Street
New York, NY 10036

FILING RECEIPT



OC000000005563680

Date Mailed: 11/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Keizaburo Sasaki, Residence, ~~XPX~~;
Hiroyuki Hattori, Residence, ~~XPX~~;
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Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 11-266862 09/21/1999
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If Required, Foreign Filing License Granted 11/17/2000

Title

Data conversion apparatus, signal, data conversion method, DCE, gateway and communication apparatus

Preliminary Class

707

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